



# 60° Congresso Nazionale SIGG

*Napoli, Stazione Marittima 25-28 novembre 2015*



---

Simposio  
“UPDATE SULLA FRAGILITÀ: NUOVI STRUMENTI DI VALUTAZIONE  
APPLICATI AI VARI SETTING DI CURA”

## **Strumenti per la valutazione della fragilità**

P. Abete, MD, PhD

*Dipartimento di Scienze Mediche Traslazionali,  
Università di Napoli Federico II*

---

## A PROGRAM TO PREVENT FUNCTIONAL DECLINE IN PHYSICALLY FRAIL, ELDERLY PERSONS WHO LIVE AT HOME

THOMAS M. GILL, M.D., DOROTHY I. BAKER, PH.D., R.N.-C.S., MARGARET GOTTSCHALK, P.T., M.S.,  
PETER N. PEDUZZI, PH.D., HEATHER ALLORE, PH.D., AND AMY BYERS, M.P.H.

***Persons were considered PHYSICALLY FRAIL if***

***they required more than 10 seconds to perform a  
rapid-gait test (i.e., to walk along a 10-ft [3.0-m]  
course and back as quickly as possible)***

***or***

***if they could not stand up from a seated position  
in a hardback chair with their arms folded.***



“UPDATE SULLA FRAGILITÀ: NUOVI STRUMENTI DI VALUTAZIONE APPLICATI AI VARI SETTING DI CURA”



## Strumenti per la valutazione della fragilità

---

- Pre-clinical and clinical frailty
  - Frailty factors
  - Instruments
  - Studies and outcomes
  - Frailty index: an “Italian” edition
-



“UPDATE SULLA FRAGILITÀ: NUOVI STRUMENTI DI VALUTAZIONE APPLICATI AI VARI SETTING DI CURA”



## Strumenti per la valutazione della fragilità

---

- Pre-clinical and clinical frailty
  - Frailty factors
  - Instruments
  - Studies and outcomes
  - Frailty index: an “Italian” edition
-

# LIFE COURSE DETERMINANTS

age, sex, education, income, ethnicity, marital status, living environment, lifestyle, life events, biological (genetic)



## PRE-CLINICAL FRAILTY

*decline in physiologic  
reserve (vulnerability)*

## CLINICAL FRAILTY

*physical, nutritional, mental,  
social*



## ADVERSE OUTCOMES

*disability, health care utilization,  
death*





“UPDATE SULLA FRAGILITÀ: NUOVI STRUMENTI DI VALUTAZIONE APPLICATI AI VARI SETTING DI CURA”



## Strumenti per la valutazione della fragilità

---

- Pre-clinical and clinical frailty
  - **Frailty factors**
  - Instruments
  - Studies and outcomes
  - Frailty index: an “Italian” edition
-

Pre-clinical factor  
**Vulnerability**

**Physical**

**Nutritional**

**Clinical factors**

**Mental**

**Social**

# **“physical” FRAILTY - 1**

## **MOBILITY**

- Balance tests
  - Gait speed (4 or 3 meters)
  - Chair stand test
  - Physical Activity Scale for the Elderly (*PASE*)
- } Short Physical Performance Battery (*SPBP*)

# **“physical” FRAILTY - 2**

## **STRENGTH**

- Lifting an object that weighs over 5 kg
- Weakness in arms and/or legs
- Climbing stairs
- Calf muscle circumference
- Muscle strength (grip strength)
- Muscle mass (bioimped.or DEXA)

} **SARCOPENIA**

# **“physical” FRAILTY - 3**

## **COMORBILITY**

- Diseases' number
- Cumulative Illness Rating Scale (*CIRS-Comorbidity, CIRS-Gravity*)
- Charlson Comorbility Index

# **“mental” FRAILTY - 1**

## **COGNITION**

- Diagnosed dementia or cognitive impairment  
(Mini Mental State Examination, *MMSE*)
- Hachinski Ischemic Score

# **“mental” FRAILTY - 2**

## **MOOD**

- Depression(Geriatric Depression Scale, *GDS*)
- Anxiety/Depression (Hospital Anxiety and Depression Scale, *HADS*)
- SF-36 quality of life

# **“nutritional” FRAILTY**

## **NUTRITIONAL STATUS**

- Appetite
- Body weight
- Body Mass Index
- Waist circumference
- Mini Nutritional Assessment (*MNA*)

# **“social” FRAILTY**

## **RELATIONS/SOCIAL SUPPORT**

- Social recourses
- Emptiness/missing people around
- Social support score



“UPDATE SULLA FRAGILITÀ: NUOVI STRUMENTI DI VALUTAZIONE APPLICATI AI VARI SETTING DI CURA”



## Strumenti per la valutazione della fragilità

---

- Pre-clinical and clinical frailty
  - Frailty factors
  - Instruments
  - Studies and outcomes**
  - Frailty index: an “Italian” edition
-

# Assessment of clinimetric properties

<b>Study</b>	<b>Number subjects</b>	<b>Settings</b>	<b>Reliability</b>	<b>Construct validity</b>	<b>Content validity</b>
<b>Frailty Phenotype</b> Fried et al, 2001	5317	Community dwelling	X	X	
<b>Frailty Index,</b> Mitnitski et al, 2001	2740	Community dwelling	X	X	
<b>Groningen, GFI</b> Schuurmans et al, 2004	1338	Community dwelling	X	X	
<b>Frailty Staging System</b> by Cacciatore et al, 2005	1332	Community dwelling	X		
<b>SOF</b> Ensrud KE et al, 2009	265	Community dwelling	X	X	X
<b>TILBURG</b> Gobbens RJJ et al, 2010.	275	Community dwelling	X	X	X
<b>Multi-prognostic index, MPI</b> By Pilotto et al, 2012	2033	In-hospital	X		
<b>INTER-FRAIL</b> Di Bari et al., 2014	15774	Community dwelling	X	X	X

# What are we looking for?

**PRE-CLINICAL  
FRAILTY**  
*decline in  
physiologic  
reserve  
(vulnerability)*

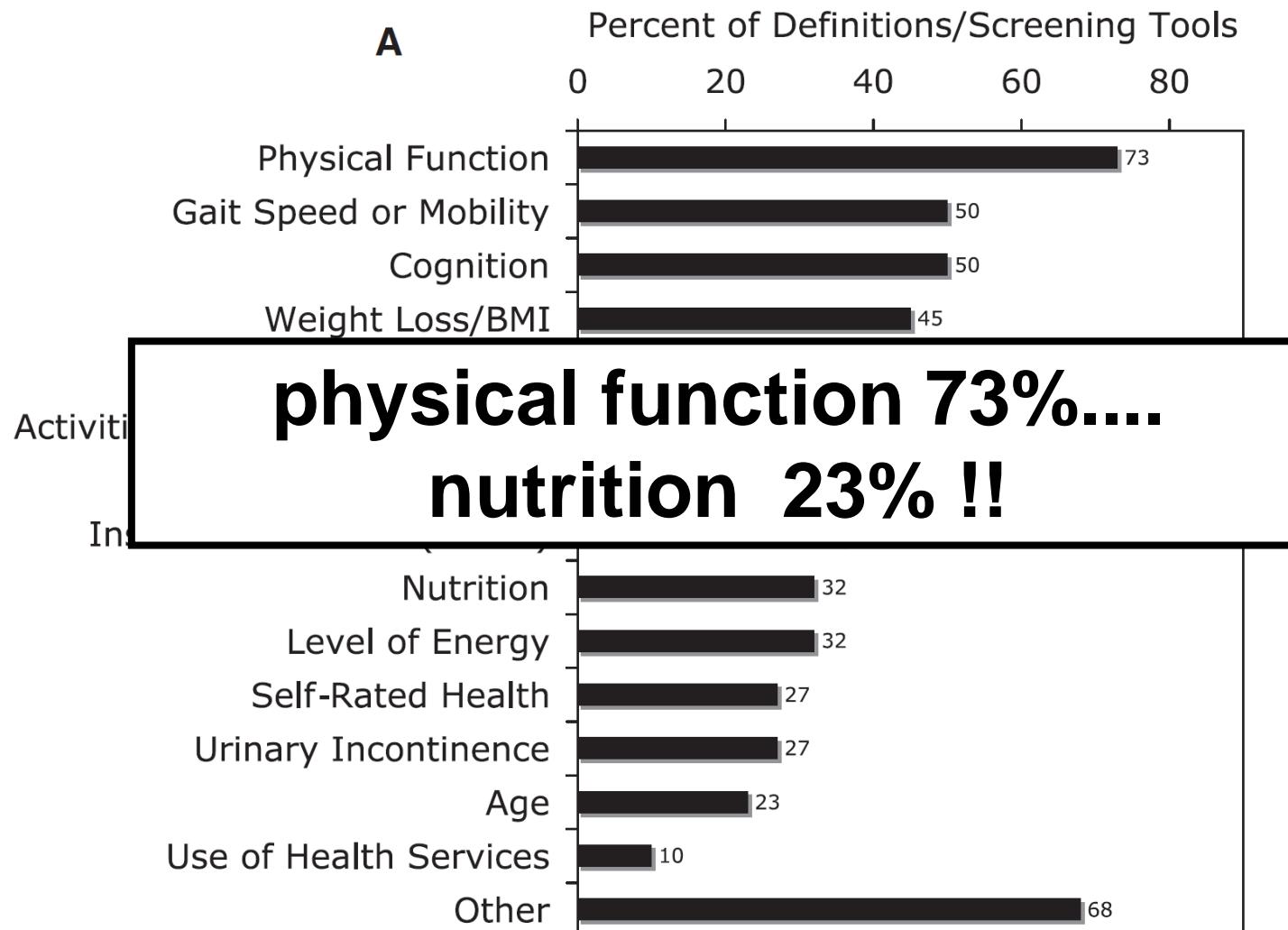


**CLINICAL  
FRAILTY**  
*physical,  
nutritional,  
mental,  
social*

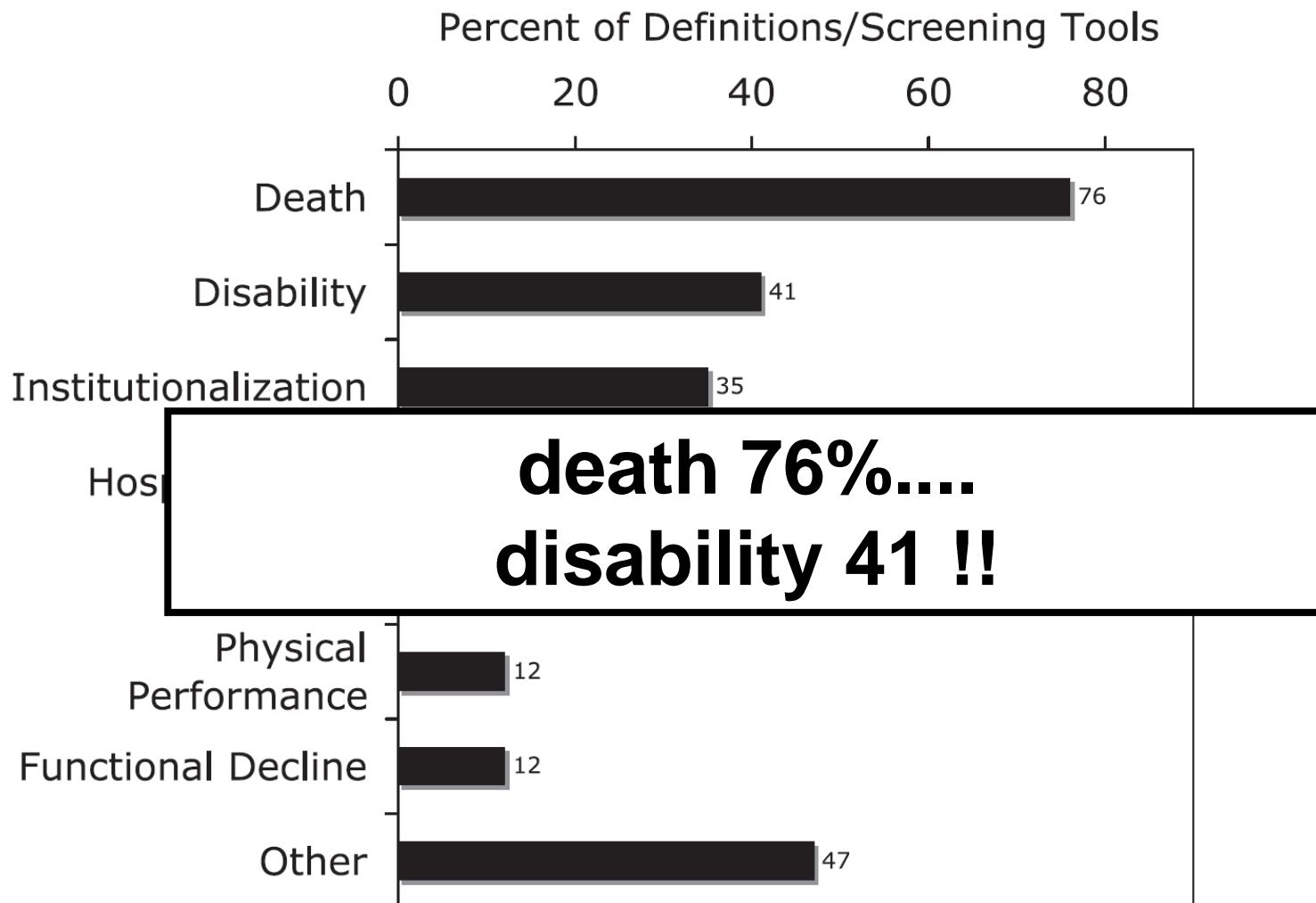
# FRAILTY FACTORS

Study	Physical			Nutritional	Mental		Social
	Mobility	Strength	Comorbidity		Cognition	Mood	
<b>Frailty Phenotype</b> Fried et al, 2001	X	X					
<b>Frailty Index,</b> Mitnitski et al, 2001	X	X	X	X	X	X	X
<b>Groningen, GFI</b> Schuurmans et al, 2004	X		X	X	X	X	
<b>Frailty Staging System</b> Cacciato et al, 2005	X				X		X
<b>SOF</b> Ensrud KE et al., 2009	X			X		X	
<b>TILBURG</b> Gobbens et al, 2010	X	X		X	X	X	X
<b>MPI</b> Pilotto et al, 2012			X	X	X		
<b>INTER-FRAIL</b> Di Bari et al, 2014	X			X	X		X

# Prevalence of identifying factors for frailty in definitions and screening tools



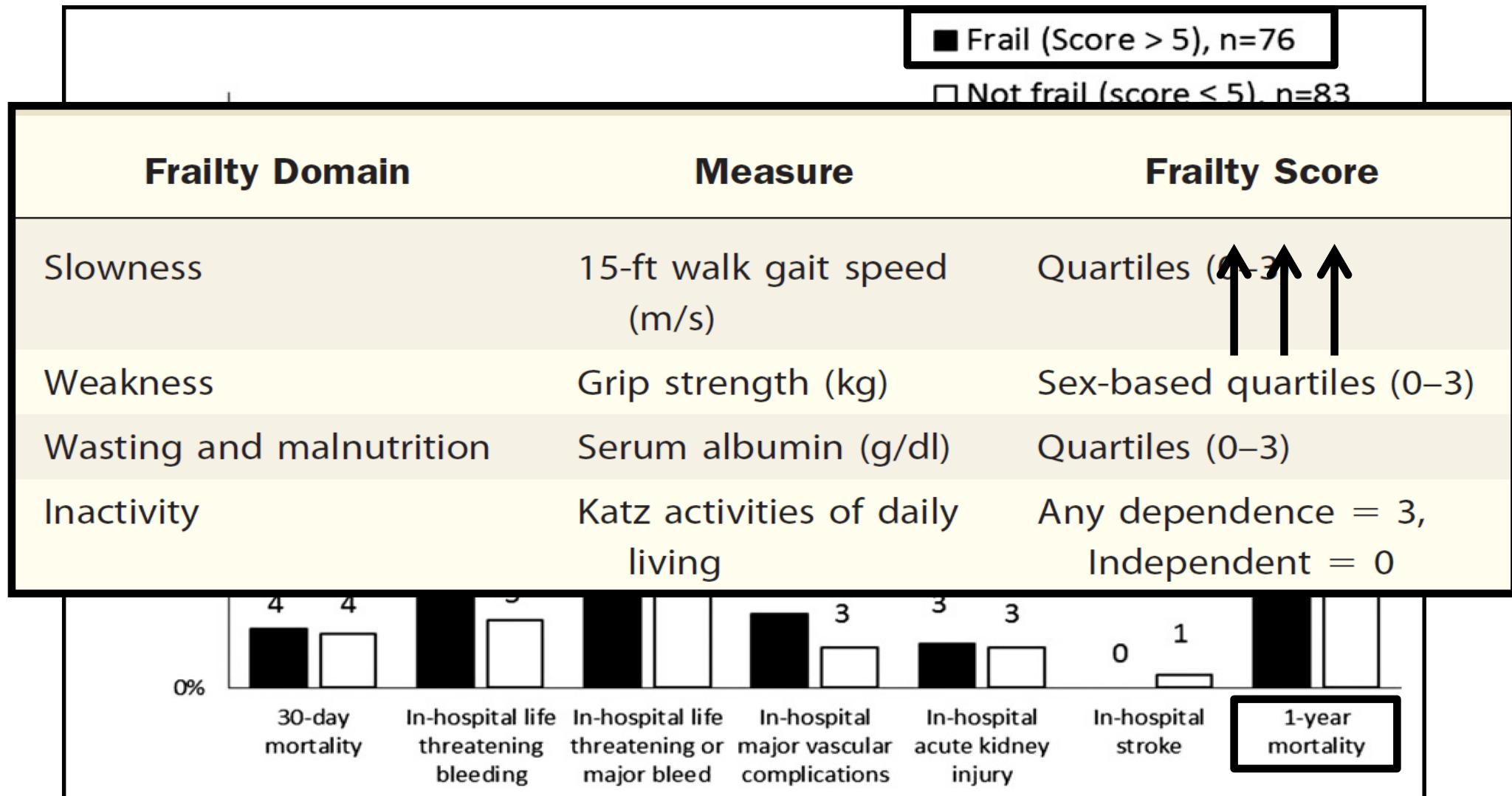
# Prevalence of outcomes of frailty predicted by definitions and screening tools



# Probability of surviving survival in elderly patients with CHF stratified for NYHA and FRAILTY

Survival (%)	NYHA				FRAILTY		
	I (n=32)	II (n=43)	III (n=28)	IV (n=17)	1 (n=55)	2 (n=47)	3 (n=18)
	90.6	90.5	100.0	82.4	97.5	94.9	99.3
1 year	90.6	90.5	100.0	82.4	97.5	94.9	99.3
6 years	46.9	50.0	32.1	22.5	59.3	52.2	12.6
9 years	37.5	23.8	28.6	15.0	45.5	25.2	0.0
12 years	34.4	17.1	21.4	7.5	26.1	12.9	0.0

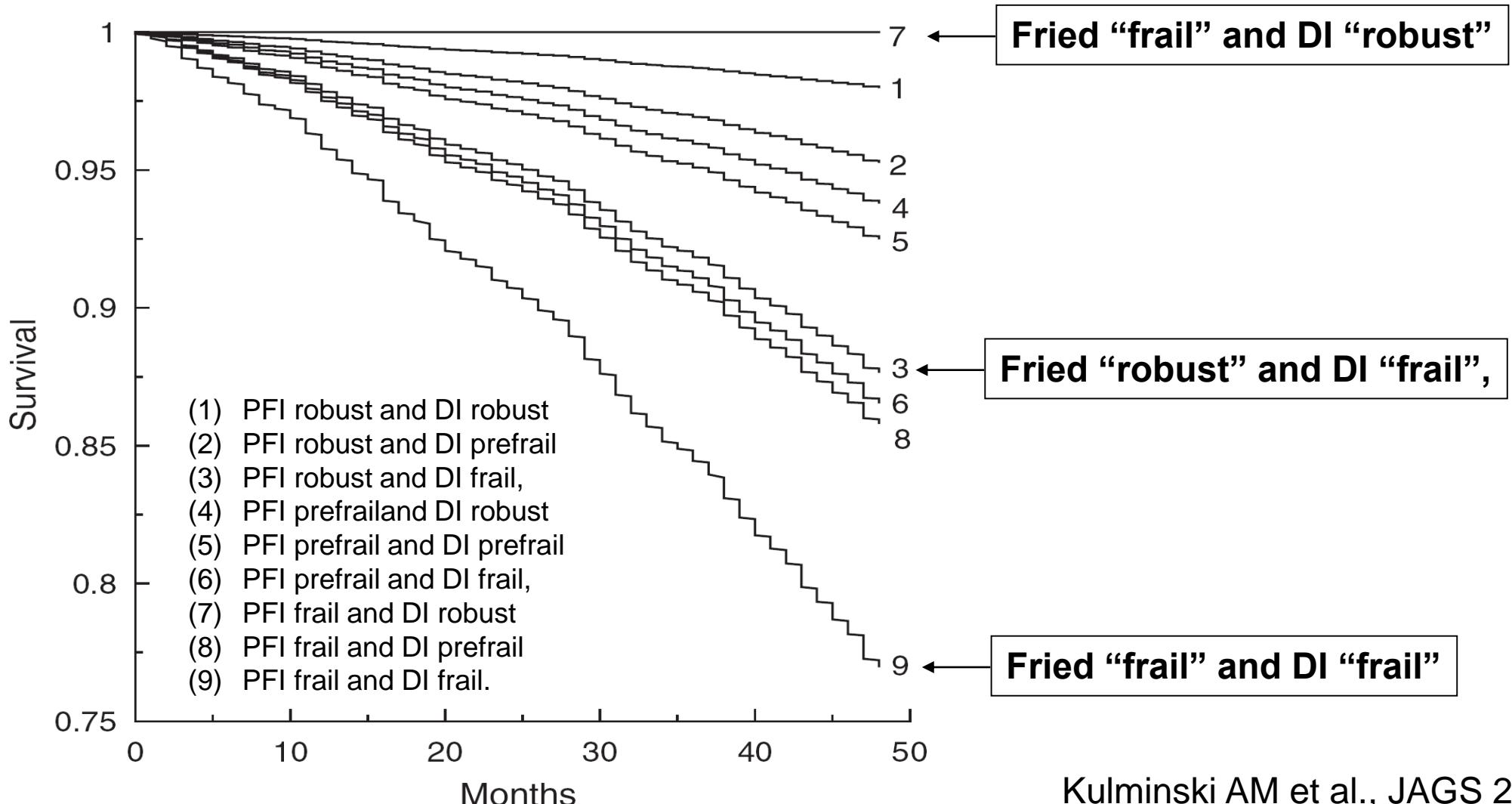
# The Impact of Frailty Status on Survival After Transcatheter Aortic Valve Replacement (TAVI) in Older Adults With Severe Aortic Stenosis



# Association between frailty and mortality from four large prospective cohort studies

	Year	Country	Participants (n)	Length of follow-up (years)	Mortality (HR* / OR† [95% CI])	
					Intermediate frailty	Severe frailty
Cardiovascular Health Study (CHS) <sup>3</sup>	2001	USA	5317	7	1.32* (1.13–1.55)	1.63* (1.27–2.08)
Study of Osteoporotic Fractures (SOF) <sup>94</sup>	2008	USA	6701	4.5	1.54† (1.40–1.69)	2.75* (2.46–3.07)
Canadian Study of Health and Aging (CSHA) <sup>92</sup>	2004	Canada	9008	5	2.54† (1.92–3.37)	3.69† (2.26–6.02)

# Cox regression for each of the nine selected subgroups defined on the basis of the phenotypic frailty index (PFI) and deficit index (DI) into three categories





“UPDATE SULLA FRAGILITÀ: NUOVI STRUMENTI DI VALUTAZIONE APPLICATI AI VARI SETTING DI CURA”



## Strumenti per la valutazione della fragilità

---

- Pre-clinical and clinical frailty
  - Frailty factors
  - Instruments
  - Studies and outcomes
  - Frailty index: an “Italian” edition**
-

Research article

**Open Access****A standard procedure for creating a frailty index**

Samuel D Searle<sup>1</sup>, Arnold Mitnitski<sup>1,2,3</sup>, Evelyne A Gahbauer<sup>4</sup>,  
Thomas M Gill<sup>4</sup> and Kenneth Rockwood\*<sup>1,2,5</sup>

Address: <sup>1</sup>Geriatric Medicine Research Unit, Dalhousie University & Capital District Health Authority, Halifax, Canada, <sup>2</sup>Department of Medicine, Dalhousie University, Halifax, Canada, <sup>3</sup>Department of Mathematics & Statistics, Dalhousie University, Halifax, Canada, <sup>4</sup>Department of Internal Medicine, Yale University School of Medicine, New Haven, CT 06504, USA and <sup>5</sup>Division of Geriatric Medicine, Dalhousie University, Halifax, Canada

Email: Samuel D Searle - ssearle@dal.ca; Arnold Mitnitski - arnold.mitnitski@dal.ca; Evelyne A Gahbauer - evelyne.gahbauer@yale.edu; Thomas M Gill - thomas.gill@yale.edu; Kenneth Rockwood\* - kenneth.rockwood@dal.ca

\* Corresponding author

Published: 30 September 2008

BMC Geriatrics 2008, 8:24 doi:10.1186/1471-2318-8-24

Received: 9 May 2008

Accepted: 30 September 2008

This article is available from: <http://www.biomedcentral.com/1471-2318/8/24>

© 2008 Searle et al; licensee BioMed Central Ltd.

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/2.0>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Help Bathing  
Help Dressing  
Help getting in/out of Chair  
Help Walking around house  
Help Eating  
Help Grooming  
Help Using Toilet  
Help up/down Stairs  
Help lifting 10 lbs  
Help Shopping

Yes = 1, No = 0  
Yes = 1, No = 0

Help with H

Help with m

Help taking

Help with F

Lost more t

Self Rating o

How Health

Stayed in Be

Cut down o

Walk outside

Feel Everyth

Feel Depres

Feel Happy

Feel Lonely

Have Troubl

High blood

Heart attack

CHF

Stroke

Cancer

Diabetes

Arthritis

Chronic Lung Disease

MMSE

Peak Flow

Shoulder Strength

BMI

Grip Strength

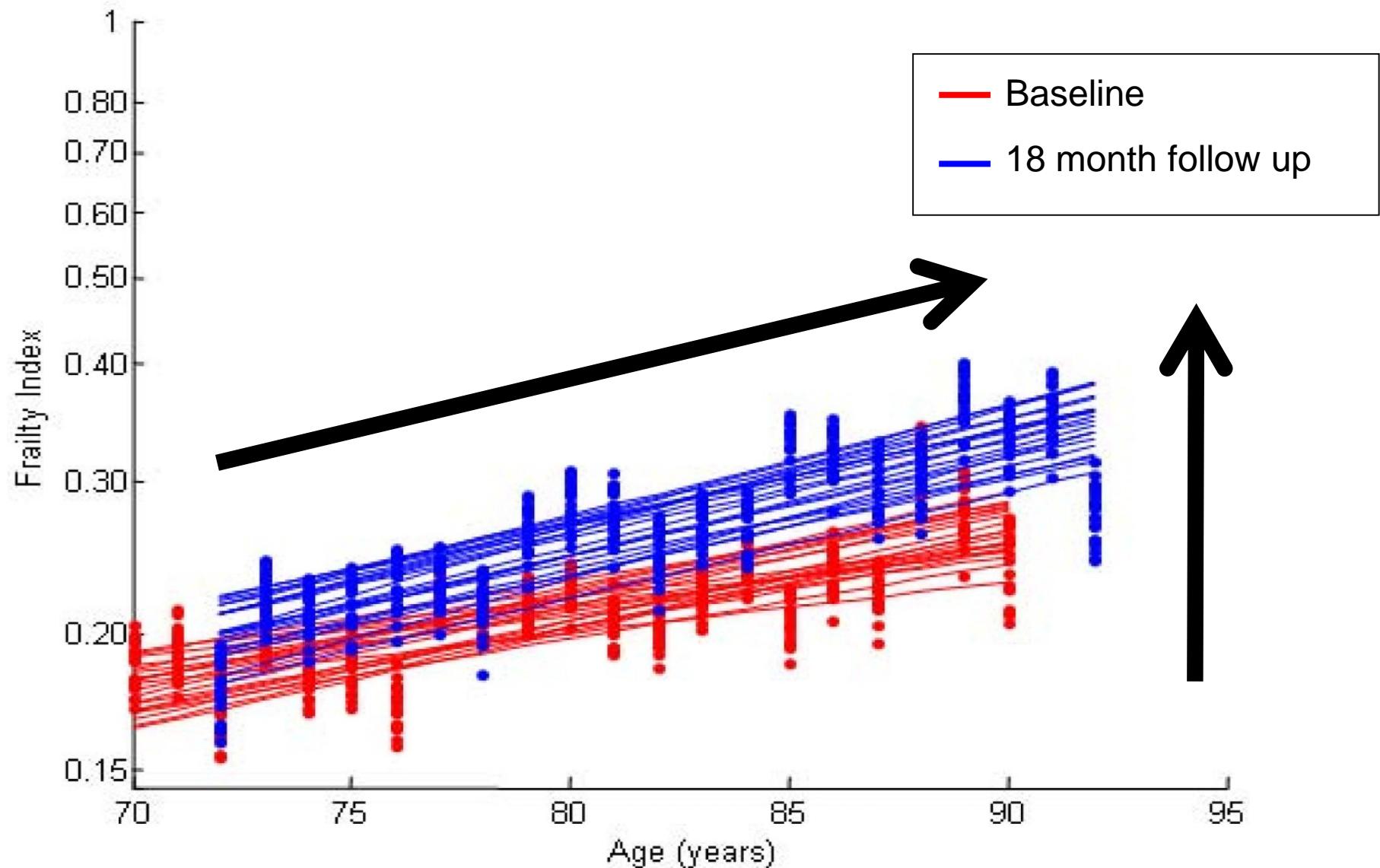
Usual Pace

Rapid Pace

# List of “40” Variables included in the “Frailty index” with related cut-point

Yes = 1, Suspect = 0.5, No = 0  
Yes = 1, Suspect = 0.5, No = 0  
Yes = 1, Suspect = 0.5, No = 0  
Yes = 1, Suspect = 0.5, No = 0  
 $<10 = 1, 11-17 = 0.75, 18-20 = 0.5, 20-24 = 0.25, >24 = 0$   
See Table 2  
See Table 2

# Variance in the Slope of the Frailty Index.



# Cox Analyses on 9-years mortality

Analysis	Variables	BASELINE		FOLLOW-UP	
		HR	CI 95%	HR	CI 95%
Bi-variate	Age	1.09	1.07 – 1.11	1.09	1.06 – 1.11
	Frailty Index	1.03	1.02 – 1.04	1.05	1.04 – 1.05
	Male Sex	1.46	1.16 – 1.82	1.37	1.07 – 1.74
Multi-variate	Age	1.08	1.06 – 1.10	1.06	1.04 – 1.09
	Frailty Index	1.03	1.02 – 1.04	1.04	1.04 – 1.05
	Male Sex	1.80	1.42 – 2.27	1.71	1.33 – 2.20



UNIVERSITA' DEGLI STUDI DI NAPOLI "FEDERICO II"  
Azienda Ospedaliera Univeristaria Federico II  
Dipartimento assistenziale ad attività integrata di  
MEDICINA CLINICA E PATOLOGIA CLINICA



li, \_\_\_\_ / \_\_\_\_ / \_\_\_\_

**from September 2009 to September 2015**

**1215 outpatients  $\geq 65$  years enrolled**

**69.6% follow-up at 24 months**

*"A standard procedure for creating a frailty index"*  
Searle SD, Mitnitski A, Gahbauer EA, Gill TM, Rockwood K.  
BMC Geriatrics 2008, 8:24

# FRAILTY INDEX

“Italian” edition

## ***Physical frailty - 1***

### **MOBILITA'**

**2-3 MINUTI**

18.	<b>Persistenza a letto almeno 1/2 giornata per motivi di salute, nell'ultimo mese?</b>	SI=1	NO=0	
20.	<b>Uscire durante la settimana</b>	<3 giorni=1	≥3 giorni=0	
22.	<b>Avere difficoltà a mettersi in moto</b>	Spesso=1	Qualche volta=0.5	Raramente=0
39.	<b>Tempo impiegato per percorrere 4 metri con passo solito (sec.) =</b>	>16 =1	≤16=0	
40.	<b>Tempo impiegato per percorrere 4 metri con passo rapido (sec.) =</b>	>10=1	≤10=0	

# FRAILTY INDEX

*“Italian” edition*

## ***Physical - 2***

### **FORZA MUSCOLARE**

**4 MINUTI**

37.	<b>Forza muscolare nel sollevamento (Kg)</b>	$\leq 12$ (uomo), $\leq 9$ (donna) = 1	$>12$ (uomo), $>9$ (donna) = 0
38.	<b>Forza muscolare nella presa (Kg)</b>	Uomo = 1	Uomo = 0
		BMI $\leq 24$ , kg $\leq 29$	BMI $\leq 24$ kg $>29$
		BMI 24.1-28 kg $\leq 30$	BMI 24.1-28 kg $>30$
		BMI $>28$ kg $\leq 32$	BMI $>28$ kg $>32$
		Donna = 1	Donna = 0
		BMI $\leq 23$ kg $\leq 17$	BMI $\leq 23$ kg $>17$
		BMI 23.1-26 kg $\leq 17.3$	BMI 23.1-26 kg $>17$
		BMI 26.1-29 kg $\leq 18$	BMI 26.1-29 kg $>18$
		BMI $>29$ kg $\leq 21$	BMI $>29$ kg $>21$

# FRAILTY INDEX

“Italian” edition

## ***Physical frailty - 3***

### **COMORBILITA'**

**3-4 MINUTI**

26.	<b>Ipertensione</b>	SI = 1	sospetta = 0.5	NO = 0
27.	<b>Angina pectoris</b>	SI = 1	sospetta = 0.5	NO = 0
28.	<b>Insufficienza cardiaca cronica</b>	SI = 1	sospetta = 0.5	NO = 0
29.	<b>Ictus</b>	SI = 1	sospetta = 0.5	NO = 0
30.	<b>Cancro</b>	SI = 1	sospetta = 0.5	NO = 0
31.	<b>Diabete</b>	SI = 1	sospetta = 0.5	NO = 0
32.	<b>Artrosi</b>	SI = 1	sospetta = 0.5	NO = 0
33.	<b>Broncopneumopatia cronica</b>	SI = 1	sospetta = 0.5	NO = 0

# FRAILTY INDEX

*“Italian” edition*

## ***Mental frailty***

### **DEFICIT COGNITIVO**

**10-12 MINUTI**

34.	<b>MMSE</b>	<10 = 1	11–17 = 0.75	18–20 = 0.5	20–24 = 0.25	>24 = 0
-----	-------------	---------	--------------	-------------	--------------	---------

### **TONO DELL'UMORE**

**2 MINUTI**

22.	<b>Sentirsi depresso</b>	spesso=1	qualche volta=0.5	raramente=0
23.	<b>Sentirsi infelice</b>	raramente=1	qualche volta=0.5	spesso=0

# FRAILTY INDEX

*“Italian” edition*

## ***Nutritional frailty***

### **INDICI ANTROPOMETRICI**

**1 MINUTO**

35.	<b>Indice di massa corporea - BMI</b>	$<18.5 \geq 30 = 1$	$25-<30 = 0.5$	$18.5-24.9 = 0$
-----	---------------------------------------	---------------------	----------------	-----------------

### **MINI NUTRITIONAL ASSESSMENT**

**4 MINUTI**

24	$\geq 24$ punti: normonutrito = 0	da 17 a 23.5 punti: paziente con indici di rischio di malnutrizione = 0.5	< 17 punti: paziente con indici evidenti di malnutrizione = 1
----	--------------------------------------	---	---

# FRAILTY INDEX

*“Italian” edition*

## **Social frailty**

### **SUPPORTO SOCIALE**

**5 MINUTI**

Stato civile	Vedovo o celibe = 1	Coniugato = 0
Figli viventi	No = 1	Sì = 0
Fratelli e sorelle viventi	No = 1	Sì = 0
Frequenza di rapporti familiari	Mai, raramente = 1	Spesso = 0
Con chi abita	Solo = 1	In compagnia = 0
Aiuto finanziario da parte dei familiari	No = 1	Sì = 0
Rapporti stretti con parenti non familiari	No = 1	Sì = 0
Aiuto concreto da parte dei familiari	No = 1	Sì = 0
Con quante persone si vede almeno una volta a settimana?	Nessuno = 1	Almeno una = 0
Ha amici intimi?	No = 1	Sì = 0
Frequenza rapporti?	Mai, raramente = 1	Spesso, = 0
Frequenta posti pubblici?	Mai, raramente = 1	Spesso, = 0
Frequenta cinema-teatri?	Mai, raramente = 1	Spesso = 0
Frequenta associazioni di volontariato-ricreative?	Mai, raramente = 1	Spesso= 0
Legge i giornali?	Mai, raramente = 1	Spesso= 0
Ascolta radio e/o vede TV?	Mai, raramente = 1	Spesso= 0
Si prende cura dei bambini?	Mai, raramente = 1	Spesso= 0

# FRAILTY INDEX

*“Italian” edition*

---

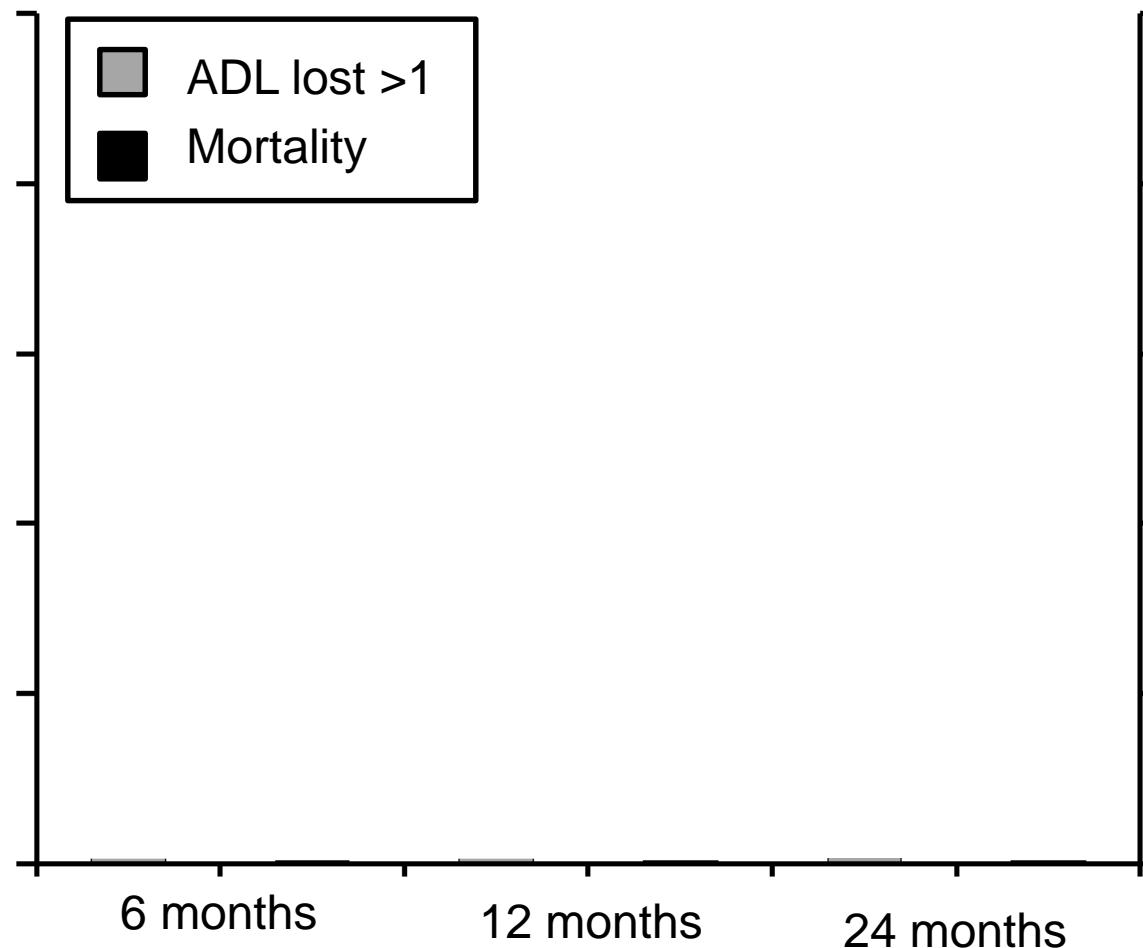
Frailty factors	Time (min)
<b>Physical frailty</b>	10
<b>Mental frailty</b>	10
<b>Nutritional frailty</b>	5
<b>Social frailty</b>	5
<b>Totale</b>	$\approx 30 (*)$

(\*) If preceded by Comprehensive Geriatric Assessment  $\approx 15$  min.

# FRAILTY INDEX “Italian” edition

## *ADL lost >1 and MORTALITY at follow-up*

---



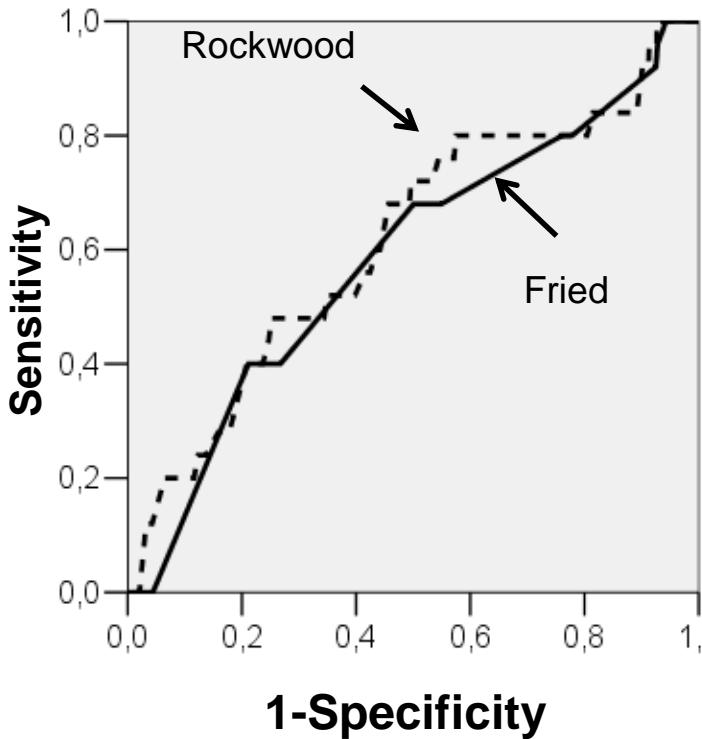
\*p<0.05 vs. 6 months

Abete P et al., submitted 2015

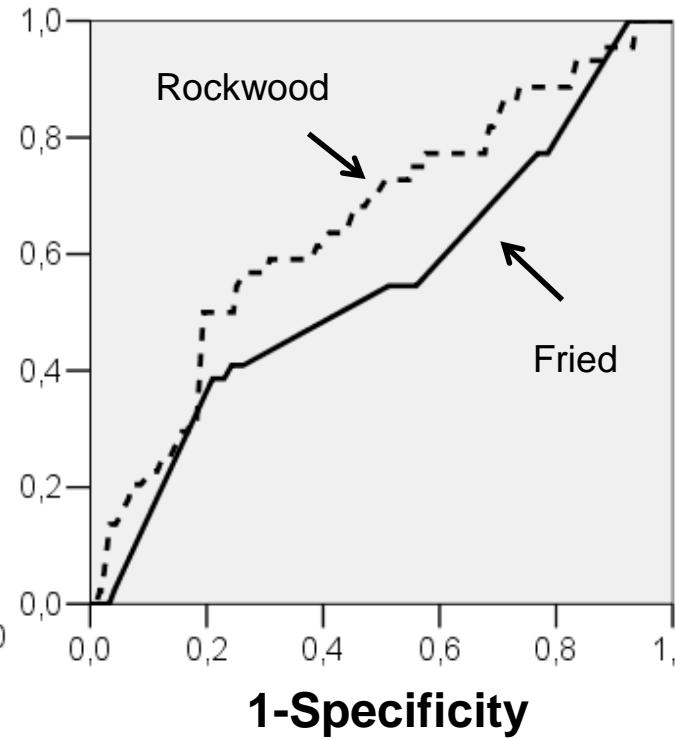
# FRAILTY INDEX “Italian” edition

## *ROC curves on “MORTALITY” at follow-up*

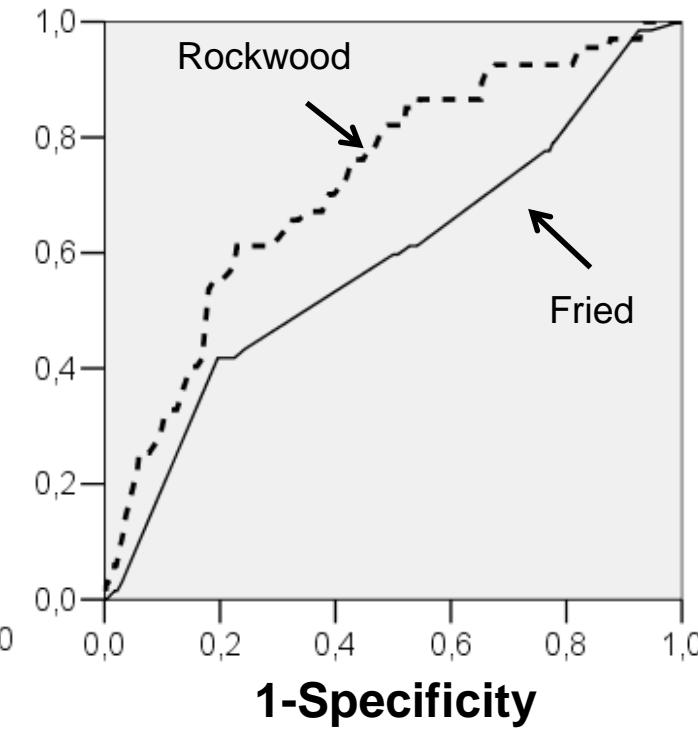
6 months



12 months



24 months



1-Specificity

1-Specificity

1-Specificity

Index	AUC	p
Fried	0.586	0.143
Rockwood	0.614	0.053

Index	AUC	p
Fried	0.550	0.266
Rockwood	0.653	0.001

Index	AUC	p
Fried	0.585	0.022
Rockwood	0.723	0.001

# Take messages

---

- Pre-clinical and clinical frailty are different entities, and therefore, should be evaluated by different methods, i.e Fried's method for pre-clinical and Rockwood's method for the clinical frailty.
- Clinical Frailty is characterized by 4 factors (physical, mental, nutritional and social frailty).
- Each frailty factor should be evaluated by definite instruments.
- Italian “edition” of Rockwood’s method seems to be a reliable and feasible method, especially if preceded by Geriatric Comprehensive Assessment.